

IQSU IQ CANDRIAM ESG U.S. Large Cap Equity ETF†

A sustainable, low-cost core equity solution

3Q22

IQSU Overview



● **Core equity holding:** Diversified U.S. equity exposure can help investors capture income and capital appreciation potential within different economic sectors



● **Global leader in sustainable investing:** A global multi-specialist asset manager with over 20 years of ESG expertise, CANDRIAM has pioneered an award-winning¹, proprietary approach to sustainable investing



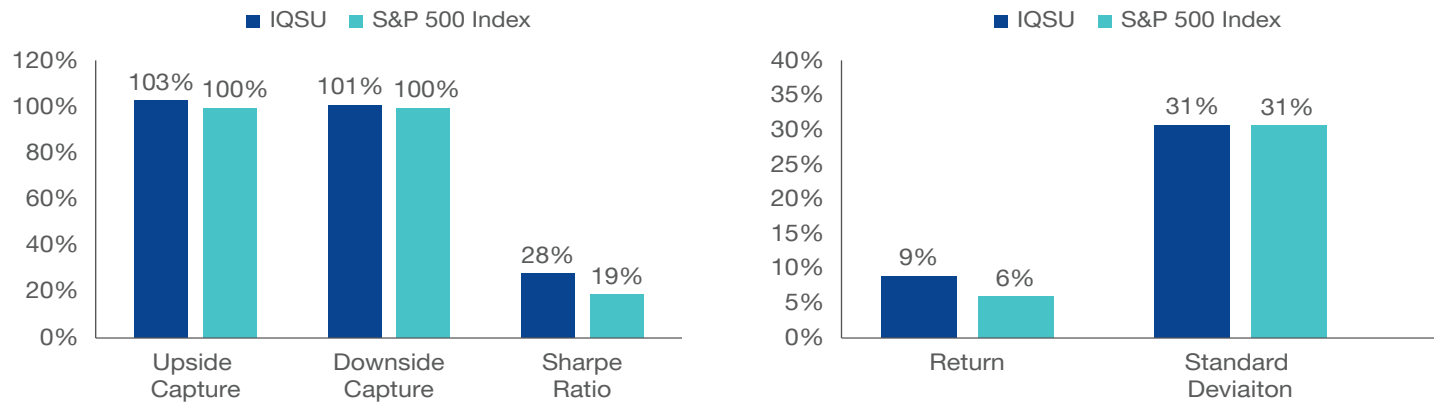
● **Accessible ESG solution:** Leverages CANDRIAM's industry-leading ESG research and data while offering broad market exposure in a cost-effective (9 bps) and tax-sensitive ETF structure

Outperformance amid unprecedented volatility

As volatility and uncertainty rose to extreme levels during the COVID-19 global market drawdown, a large majority of sustainable equity funds ranked in the top halves of their Morningstar categories. IQSU was no exception, as the Fund has outperformed its benchmark with an improved Sharpe ratio and compelling up and down capture ratios since inception.

IQSU: Since Inception Results

(12/17/19 – 9/30/22)



Source: Morningstar, data as of 9/30/22. Up/down capture ratios were calculated vs the S&P 500 Index for IQSU and peers. Upside/downside capture ratio represents whether a given fund has outperformed a broad market benchmark during periods of market strength and weakness. Past performance is no guarantee of future results. An investment cannot be made in an index. Standard deviation is a statistic that measures the dispersion of a dataset relative to its mean.

Not FDIC/NCUA Insured	Not a Deposit	May Lose Value
No Bank Guarantee	Not Insured by Any Government Agency	

Product Snapshot

IQSU Performance (%), as of 9/30/2022

Total Return	QTR	YTD	1 Year	3 Years	Since Inception
IQ CANDRIAM ESG U.S. Large Cap Equity ETF (NAV)	-4.96	-25.59	-16.29	-	8.66
IQ CANDRIAM ESG U.S. Large Cap Equity ETF (MP)	-4.95	-25.63	-16.19	-	8.71
IQ CANDRIAM ESG U.S. Large Cap Equity Index	-4.94	-25.58	-16.25	-	8.73
S&P 500 Index	-4.88	-23.87	-15.47	8.16	5.95

Fund inception: 12/17/19

Returns represent past performance which is no guarantee of future results. Current performance may be lower or higher. Investment return and principal value will fluctuate, and shares, when redeemed, may be worth more or less than their original cost. Visit www.newyorklifeinvestments.com for the most recent month-end performance.

IQSU: Total annual operating expense - 0.09%. As stated in the Fund's prospectus, the management fee of 0.09% is expressed as a unitary fee to cover expenses incurred in connection with managing the portfolio. Performance reflects a contractual fee waiver and/or expense limitation agreement in effect until terminated by the board of Trustees of the ETF, without which total returns may have been lower.

CANDRIAM: A pioneer in ESG investing

A global leader in sustainable investing with over 20 years of ESG expertise, CANDRIAM has pioneered an award-winning, proprietary approach to sustainable investing.

20+ years

ESG expertise

\$138.1 B

AUM across equities, fixed income, sustainable investments and absolute return

\$95.1 B

invested in ESG-focused strategies

120+

fund awards received in the last three years, across asset classes and countries



Source: CANDRIAM. Data as of 9/30/22.

For more information on how to complement or replace your existing international position with a low cost, tax efficient and liquid solution, contact us at 888-474-7725 or visit www.newyorklifeinvestments.com.



For more information

888-474-7725

www.newyorklifeinvestments.com

† Effective 8/31/22, the IQ Candriam ESG U.S. Equity ETF was renamed as IQ Candriam ESG U.S. Large Cap Equity ETF.

About risk

Before considering an investment in the Fund, you should understand that you could lose money.

As with all investments, there are certain risks of investing in the Fund. The Fund's Shares will change in value and you could lose money by investing in the Fund. The Fund is a new fund. As a new fund, there can be no assurance that it will grow to or maintain an economically viable size, in which case it may experience greater tracking error to its Underlying Index or it could ultimately liquidate. The Fund employs a "passive management" investment approach designed to track the performance of the Underlying Index. There is no guarantee that the Fund's investment results will have a high degree of correlation to those of the Underlying Index or that the Fund will achieve its investment objective. The Underlying Index seeks to provide exposure to the equity securities of companies meeting environmental, social and corporate governance investing criteria and the Fund may forgo some market opportunities available to funds that do not use these criteria. The application of environmental, social and corporate governance investing may impact the Fund's relative investment performance. There is no guarantee that the construction methodology of the Underlying Index will accurately provide exposure to issuers meeting environmental, social and corporate governance criteria. Small- and mid-capitalization companies may be more vulnerable to adverse general market or economic developments, and their securities may be less liquid and may experience greater price volatility than larger, more established companies.

The IQ CANDRIAM ESG U.S. Equity Index is designed to deliver exposure to equity securities of companies meeting environmental, social and corporate governance (ESG) criteria developed by CANDRIAM and weighted using a market capitalization weighting methodology.

The S&P 500® Index is widely regarded as the standard index for measuring large-cap U.S. stock market performance. The Underlying Index was developed by IndexIQ LLC with Candriam Belgium S.A. acting as index consultant.

U.S. Large-blend funds are fairly representative of the overall U.S. stock market in size, growth rates, and price. Stocks in the top 70% of the capitalization of the U.S. equity market are defined as large cap. The blend style is assigned to portfolios where neither growth nor value characteristics predominate. These portfolios tend to invest across the spectrum of U.S. industries, and owing to their broad exposure, the portfolios' returns are often similar to those of the S&P 500 Index.

Sustainable equity funds invest in companies that meet certain ESG (environmental, social, and governance) criteria.

ESG Investing Style Risk Impact investing and/or Environmental, Social and Governance (ESG) managers may take into consideration factors beyond traditional financial information to select securities, which could result in relative investment performance deviating from other strategies or broad market benchmarks, depending on whether such sectors or investments are in or out of favor in the market.

Further, ESG strategies may rely on certain values-based criteria to eliminate exposures found in similar strategies or broad market benchmarks, which could also result in relative investment performance deviating. There is no assurance that employing ESG strategies will result in more favorable investment performance.

1. CANDRIAM has been in the top three preferred ESG managers for European fund selectors for five consecutive years. Source: Broadridge-MackayWilliamsFBF50. CANDRIAM also placed third in Citywire's ranking of the "Top 10 Fund Houses 'Truly Committed' to ESG in 2019". **2.** A+ rating is as of 2020. **3.** European CEO Magazine, European CEO Awards, December 2017; **4.** CityWire, "Top 10 fund houses 'truly committed' to ESG in 2019", October 2019; **5.** Investment Week, Sustainable & ESG Investment Awards, November 2019. **6.** ETF Express, etfexpress Awards, July 2019. The products and services of New York Life Investments' boutiques are not available to all clients and in all jurisdictions or regions.

Consider the Fund's investment objectives, risks, and charges and expenses carefully before investing. The prospectus and the statement of additional information include this and other relevant information about the Fund and are available by visiting www.newyorklifeinvestments.com or calling 888-474-7725. Read the prospectus carefully before investing.

Fund shares are not individually redeemable and will be issued and redeemed at their NAV only through certain authorized broker dealers in large, specified blocks of shares called "creation units", and otherwise, can be bought and sold only through exchange trading. Creation units are issued and redeemed principally in-kind.

Shares are bought and sold at market price (not NAV) and are not individually redeemed from the Fund. Total Returns are calculated using the daily 4:00 pm ET net asset value (NAV). The price used to calculate the market price returns ("MP") is the mean between the day's last bid and ask prices on the fund's primary exchange. The market price returns do not represent returns an investor would receive if shares were traded at other times.

Index performance is for illustrative purposes only and does not represent actual Fund performance. One cannot invest directly in an index. Performance data for the Index assumes reinvestment of dividends and is net of the management fees for the Index's components, as applicable, but it does not reflect management fees, transaction costs or other expenses that you would pay if you invested in the Fund directly. No representation is being made that any investment will achieve performance similar to that shown.

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