



## INVESTING IN HART, FOR HEARTS

As interest in impact investing grows, studies suggest that investors are reconsidering how their investments reflect their personal views and values. The IQ Healthy Hearts ETF (HART), first in a series of new IQ Dual Impact ETFs by IndexIQ and created in alignment with the American Heart Association, seeks to align these values with investors' financial goals by investing for both heart health and the fight against health inequity.



Heart disease is the #1 cause of death in the U.S.<sup>1</sup>



127 million Americans over 20 live with heart disease<sup>1</sup>



Heart disease costs the U.S. \$216 billion each year<sup>1</sup>

## WHY HEALTHY HEARTS?

Heart disease is the leading cause of death worldwide. Coupled with an increasingly aging population looking to adopt healthier lifestyles, it's no surprise that:



Sectors surrounding heart health are becoming increasingly important



Treatments for heart disease continue to evolve

## ABOUT HART

HART tracks the IQ CANDRIAM Healthy Hearts Index, which utilizes positive selection criteria, as well as exclusionary screens, to provide investors exposure to well-known stocks of global companies that:



Seek to diagnose or treat cardiovascular disease



Enable people to adopt healthier lifestyles through above-average involvement in healthy food and wellness products



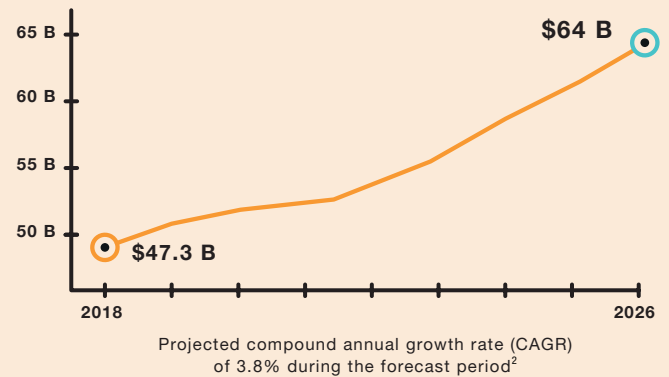
Provide solutions for people to engage in regular exercise and track their fitness



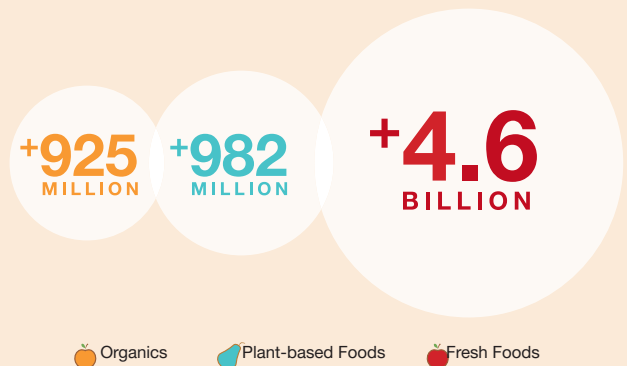
Offer access to health education through IT services

## STATISTICS SUGGEST THAT AN ATTRACTIVE INVESTMENT OPPORTUNITY HAS ARISEN FROM TRENDS IN KEY SECTORS.

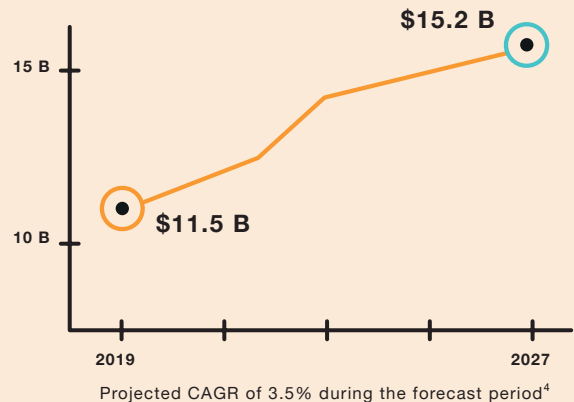
### GLOBAL CARDIOVASCULAR DRUG MARKET



### CONSUMER PACKAGED GOODS GROWTH<sup>3</sup>



### FITNESS EQUIPMENT MARKET



## WHEN YOU INVEST, HEARTS BENEFIT

To underscore our commitment to promoting better health, IndexIQ and New York Life Investments are donors to and supporters of the American Heart Association's Social Impact Fund.\* Investing in HART helps to further these contributions.

Established in 2018, the Social Impact Fund invests in local entrepreneurs and organizations that support programs aimed at addressing the economic and social conditions that can affect a person's health, such as social cohesion, employment, education, housing, and food access.



80% of your health is determined by where you live<sup>5</sup>



1 in 5 children live in food-insecure homes<sup>6</sup>



1 in 2 deaths from heart disease, stroke, and type 2 diabetes are linked to a poor diet<sup>7</sup>



Lower-income neighborhoods tend to lack access to healthy foods and a safe place to exercise<sup>8</sup>

## PUT HART IN YOUR PORTFOLIO

Through HART, investors access both growing healthy lifestyle trends across multiple sectors and advancements in the treatment of cardiovascular disease, while also contributing to positive change in the fight against health inequity.

Now's the time to invest to give back. Now's the time to diversify your portfolio through the forward-thinking impact investing made possible by IndexIQ and our IQ Dual Impact ETFs.

## IQ DUAL IMPACT ETFS

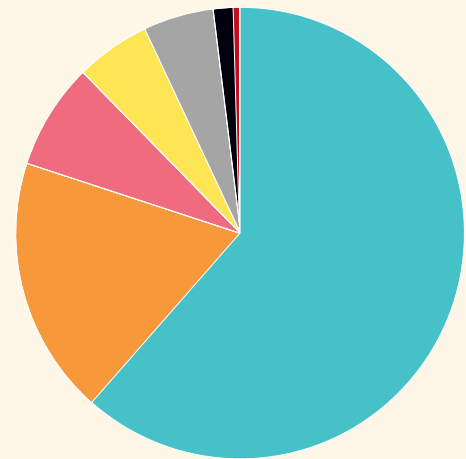
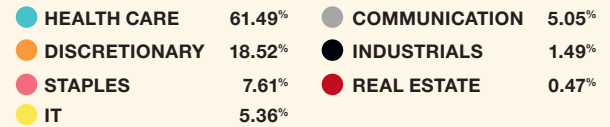
### Investing with a Conscience to Make a Difference.

The wisdom of impact investing lies in its innate commitment to doing good, both by generating financial return potential and as a vehicle for social and/or environmental impact. IndexIQ created our new suite of thematic ETFs, the IQ Dual Impact ETFs, to tackle a variety of social and environmental issues important to you and to everyone.

**Invest with Purpose. Invest in HART.**

## PORTFOLIO ALLOCATION

### SECTOR BREAKDOWN



### TOP HOLDINGS

TICKER	COMPANY	PORTFOLIO WEIGHT (%)	SECTOR	COUNTRY
LLY	Eli Lilly & Co	5.61	Health Care	U.S.
NKE	Nike Inc. -CI B	5.52	Consumer Discretionary	U.S.
AAPL	Apple Inc.	5.36	Information Technology	U.S.
GOOGL	Alphabet Inc.-CI A	5.05	Communication Services	U.S.
NVS	Novartis Ag-Reg	5.03	Health Care	Switzerland
MRK	Merck & Co.Inc	5.00	Health Care	U.S.
NESN	Nestle Sa-Reg	4.93	Consumer Staples	Switzerland
ABT	Abbott Laboratories	4.85	Health Care	U.S.
MDT	Medtronic Plc	4.79	Health Care	U.S.
JNJ	Johnson & Johnson	4.75	Health Care	U.S.

Portfolio allocation percentages are based on total net assets as of 6/30/21 and subject to change without notice.



American Heart Association.  
Social Impact Fund

LEARN MORE

VISIT [HARTETF.COM](https://HARTETF.COM)

HART IS AVAILABLE ON ALL MAJOR TRADING PLATFORMS.

\*New York Life Investment Management LLC (NYLIM) and IndexIQ Advisors LLC (IndexIQ) are donors to and supporters of the American Heart Association's Social Impact Fund and are making a substantial contribution to the Social Impact Fund.

Shares of the Fund are not sponsored, endorsed or promoted by American Heart Association, Inc. ("AHA"). The Fund's sponsor, IndexIQ, and its affiliates are donors to and supporters of AHA's Social Impact Fund and are making a substantial contribution to the Social Impact Fund in connection with AHA's agreement to license use of its name and trademarks to IndexIQ and its affiliates. AHA makes no representation or warranty, express or implied, to prospective or actual investors in the Fund or to any member of the public regarding the advisability of investing in any financial product, including one seeking to track the Underlying Index, the ability of the Fund to track the performance of the Underlying Index, the ability of the Underlying Index to meet or exceed stock market performance, the suitability of the Fund or the ability of the Underlying Index or Fund to achieve its investment goals. AHA has no obligation or liability in connection with the administration, marketing or trading of shares of the Fund. AHA is not an investment adviser or a fund distributor or service provider. Inclusion of a security within the Underlying Index is not a recommendation by AHA to buy, sell or hold such security, nor is it considered to be investment advice or a guarantee that the investment goals of the Underlying Index will be achieved. AHA does not guarantee the accuracy and/or the completeness of the Underlying Index or any data included therein.

### ABOUT RISK

Before considering an investment in the Fund, you should understand that you could lose money.

The performance of the underlying Index and the Fund may deviate from that of the markets the underlying Index seeks to track due to changes that are reflected in the markets more quickly than the annual rebalancing process can track. Securities in the underlying Index or the Fund's portfolio may also underperform in comparison to the general securities markets. When the Fund invests in foreign markets, it will be subject to risk of loss not typically associated with domestic markets. Loss may result because of less foreign government regulation, less public information and less economic, political and social stability. Loss may also result from the imposition of exchange controls, confiscations and other governmental restrictions.

The IQ CANDRIAM Healthy Hearts Index seeks to provide investors with exposure to select companies that are involved in the diagnosis and/or treatment of heart disease, or that provide goods or services that allow people to adopt or maintain a healthy lifestyle.

**Principal Risks** – Investors in the Fund should be willing to accept a high degree of volatility in the price of the Fund's Shares and the possibility of significant losses. As with all investments, you may lose money in the Fund. **New Fund Risk** The Fund is a new fund. As a new fund, there can be no assurance that it will grow to or maintain an economically viable size, in which case it could ultimately liquidate. **Equity Securities Risk** Investments in common stocks and other equity securities are particularly subject to the risk of changes in investors' perceptions of the financial condition of an issuer, conditions affecting equity markets generally and political and/or economic events. Equity prices may also be particularly sensitive to rising interest rates, as the cost of capital rises and borrowing costs increase. Holders of an issuer's common stock may be subject to greater risks than holders of its preferred stock and debt securities because common stockholders' claims are subordinated to those of holders of preferred stocks and debt securities upon the bankruptcy of an issuer. **Large-Capitalization Companies Risk** Large-capitalization companies may be less able than smaller capitalization companies to adapt to changing market conditions. Large-capitalization companies may be more mature and subject to more limited growth potential compared with smaller capitalization companies. During different market cycles, the performance of large-capitalization companies has trailed the overall performance of the broader securities markets. **Small and/ or Mid-Capitalization Companies Risk** Small- and mid-capitalization companies may be more vulnerable to adverse general market or economic developments, and their securities may be less liquid and may experience greater price volatility than larger, more established companies. **Foreign Investing Risk** Foreign securities can be subject to greater risks than U.S. investments, including currency fluctuations, less liquid trading markets, greater price volatility, political and economic instability, less publicly available information, and changes in tax or currency laws or monetary policy. These risks are likely to be greater for emerging markets than in developed markets. **Passive Management Risk** The Fund is not actively managed and instead seeks to track the performance of an Index.

Consider the Fund's investment objectives, risks, charges and expenses carefully before investing. The prospectus and the statement of additional information include this and other relevant information about the Fund and are available by visiting [newyorklifeinvestments.com/etf](http://newyorklifeinvestments.com/etf). Read the prospectus carefully before investing.

"New York Life Investments" is both a service mark, and the common trade name, of certain investment advisors affiliated with New York Life Insurance Company. IndexIQ® is the indirect wholly owned subsidiary of New York Life Investment Management Holdings LLC and serves as the advisor to the IndexIQ ETFs. ALPS Distributors, Inc. (ALPS) is the principal underwriter of the ETFs, and NYLIFE Distributors LLC is a distributor of the ETFs. NYLIFE Distributors LLC is located at 30 Hudson Street, Jersey City, NJ 07302. ALPS Distributors, Inc. is not affiliated with NYLIFE Distributors LLC. NYLIFE Distributors LLC is a Member FINRA/SIPC.

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