

# IndexIQ Implementation Guide

## Intelligent Investing

For over a decade, IndexIQ has evolved to help solve strategic business challenges and support a wide range of portfolio allocations. The first to offer liquid alternative ETFs, IndexIQ grants you access to atypical investment solutions that were once out of reach for most investors. And now, our full spectrum of ETF solutions is designed to deliver a smarter approach to traditional investing - whether you are an individual or institutional investor, financial professional, or wealth manager. At IndexIQ, we're taking intelligent investing beyond anything seen before.



How clients  
are using  
IndexIQ ETFs

## Solving a variety of investor challenges

This implementation guide highlights how our clients use IndexIQ ETFs

- 1 Tactical Adjustments**  
Specific over/under weights based on investment styles, regional bias toward specific sectors and themes based on short-term views.
- 2 Core Allocations**  
Establishing a long-term strategic position in a given portfolio.
- 3 Rebalancing**  
Exposures designed to manage and mitigate portfolio risk.
- 4 Portfolio Completion**  
Filling specific gaps in strategic asset allocation.
- 5 Interim Beta**  
Ensuring market exposure while refining strategic asset allocation or modifying a long-term view.
- 6 International Diversification**  
Efficiently accessing foreign markets and asset classes.
- 7 Transition Management**  
Temporary exposures to facilitate transitions between managers or asset classes.
- 8 Risk Management**  
Seeking to mitigate undesired portfolio risk or hedge asset allocation decisions.
- 9 Liquidity Management**  
Maintaining exposure in a liquid investment vehicle to help meet cash flow needs or asset allocation flexibility.
- 10 Cash Equitization**  
Putting long-term and intermediate cash positions to work to minimize drag on investment returns.

## IndexIQ: Access granted to atypical investment solutions

### How clients are using IndexIQ ETFs

Symbol	Product	Asset Class	Tactical Adjustments	Core Allocations	Rebalancing	Portfolio Completion	Interim Beta	International Diversification	Transition Management	Risk Management	Liquidity Management	Cash Equitization
AGGP	<a href="#">IQ Enhanced Core Plus Bond US ETF</a>	Fixed Income		●		●						
CLRG	<a href="#">IQ Chaikin Large Cap ETF</a>	U.S. Equity	●	●		●	●					
CPI	<a href="#">IQ Real Return ETF</a>	Liquid Alternative	●				●			●		●
CSML	<a href="#">IQ Chaikin US Small Cap ETF</a>	U.S. Equity	●	●		●	●					
GRES	<a href="#">IQ Global Resources ETF</a>	Real Assets	●				●	●				
HXFI	<a href="#">IQ 50 Percent Hedged FTSE International ETF</a>	Global/International	●	●		●	●	●		●		
HYLV	<a href="#">IQ S&amp;P High Yield Low Volatility Bond ETF</a>	Fixed Income	●	●	●	●	●			●	●	
IQIN	<a href="#">IQ 500 International ETF</a>	Global/International		●				●				
IQSI	<a href="#">IQ Candriam ESG Intl Equity ETF</a>	ESG	●	●		●		●				
IQSU	<a href="#">IQ Candriam ESG U.S. Equity ETF</a>	ESG	●	●		●						
MCRO	<a href="#">IQ Hedge Macro Tracker ETF</a>	Liquid Alternative			●		●		●	●		●
MMIN	<a href="#">IQ MacKay Municipal Insured ETF</a>	Fixed Income	●	●	●	●	●		●	●	●	●
MMIT	<a href="#">IQ MacKay Municipal Intermediate ETF</a>	Fixed Income	●	●	●	●	●		●	●	●	●
MNA	<a href="#">IQ Merger Arbitrage ETF</a>	Liquid Alternative	●		●	●	●		●	●	●	●
QAI	<a href="#">IQ Hedge Multi-Strategy Tracker ETF</a>	Liquid Alternative	●		●		●		●	●	●	
QED	<a href="#">IQ Hedge Event-Driven Tracker ETF</a>	Liquid Alternative	●		●		●		●	●	●	
QLS	<a href="#">IQ Hedge Long/Short Tracker ETF</a>	Liquid Alternative	●		●		●		●	●	●	
QMN	<a href="#">IQ Hedge Market Neutral Tracker ETF</a>	Liquid Alternative	●		●		●		●	●	●	
ROOF	<a href="#">IQ US Real Estate Small Cap ETF</a>	Real Assets	●									
ULTR	<a href="#">IQ Ultrashort Bond ETF</a>	Fixed Income	●	●	●	●	●		●	●	●	●

Click on the fund name for the most current fund page, which includes, the prospectus, investment objectives, performance, risk, and other important information. Returns represent past performance which is no guarantee of future results. Current performance may be lower or higher. Investment return and principal value will fluctuate, and shares, when redeemed, may be worth more or less than their original cost. Visit [nylinvestments.com/funds](https://nylinvestments.com/funds) and for the most recent month-end performance.

#### ABOUT RISK

There are risks involved with investing in any such products, including the possible loss of principal. Investors in the Funds should be willing to accept a high degree of volatility and the possibility of significant losses. Alternative investments are speculative, not suitable for all clients, and intended for experienced and sophisticated investors who are willing to bear the high economic risks of the investment.

**Consider the Fund's investment objectives, risks, charges and expenses carefully before investing. The prospectus and the statement of additional information include this and other relevant information about the Fund and are available by visiting [nylinvestments.com/etfs](https://nylinvestments.com/etfs) or calling 888-474-7725. Read the prospectus carefully before investing.**

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May Lose Value

No Bank Guarantee

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