Boutique Capabilities

OPTIMIZING PORTFOLIOS WITH INDEXIQ ETFs
About IndexIQ

BOUTIQUE AT A GLANCE
As of 6/30/20

- Global provider of exchange traded funds (ETFs)
- Founded in 2006 and the first to offer liquid alternative ETFs
- $3+ billion in assets under management
- Offers innovative range of passive and active ETF solutions across asset classes: fixed income, equities and alternatives
- Multiple “first-of-their-kind” ETF offerings
- Provides access to deep domain investment expertise of New York Life Investments’ family of boutiques
- A New York Life Investments company since 2015

At IndexIQ, a New York Life Investments company, you’re granted access to atypical investment solutions that were once out of reach for most investors. While others rely on one-size-fits-all solutions, IndexIQ’s leading-edge ETFs are designed to deliver a smarter approach to investing, helping clients build better portfolios. Whether an institutional investor, financial professional or wealth manager, IndexIQ’s portfolio of solutions is designed to deliver a smarter approach to traditional investing. We’re taking intelligent investing beyond anything seen before.

Tailored, transparent and liquid solutions
IndexIQ ETFs go well beyond merely replicating a benchmark.

- IndexIQ liquid alternative ETFs democratize alternative investments, unlocking beta from conventional strategies through proprietary index construction.
- IndexIQ smart core ETFs modify traditional core approaches to client needs, adding features such as 50% currency hedge, low volatility high yield and ESG (environmental, social, governance).
- IndexIQ actively managed ETFs offer access to deep domain expertise across New York Life Investments’ boutiques.

Here are some ways investors can implement IndexIQ ETFs in their portfolios

- Tactical adjustments — to address style, geography, sectors and themes
- Core allocations — to establish long-term strategic positions
- Portfolio completion — to fill gaps in strategic allocations
- Transition management — to facilitate transitions between asset managers or asset classes
- Risk management — to mitigate undesired risk or hedge portfolio exposures
- Liquidity management — to help meet cash flow needs

Combining expertise with ETF structure
IndexIQ ETFs combine the deep investment expertise and experience of New York Life Investments’ family of boutiques with the benefits associated with an ETF structure: transparency, liquidity, tax efficiency and cost efficiency.
BOUTIQUE SPOTLIGHT
A Pioneer in Liquid Alternative ETFs

In 2006, IndexIQ began developing proprietary, rules-based, quantitative techniques to capture the core benefits of hedge funds. Since then, IndexIQ has emerged as the leading, award-winning provider of liquid alternative ETFs. Several ETFs—including flagship funds QAI and MNA—now have track records of more than 10 years.

IndexIQ Through the Years

1. etfexpress/Hedgeweek Award Methodology: Awards are based on a “peer review system” whereby Hedgeweek’s readers—including institutional and high net worth advisors, managers, and other industry professionals at fund administrators, prime brokers, custodians, and advisers—are invited to elect a “best in class” in a series of categories via an online survey. For more information, visit hedgeweek.com/hedgeweek/awards/methodology.
2. IndexIQ partnered with Mackay Municipal Managers to create a series of actively managed municipal bond ETFs.
3. IQ Merger Arbitrage ETF (MNA) was named 2019 “Best Alternative ETF of the Year” by the Fund Intelligence Mutual Fund and ETF Industry Awards. Award methodology: Fund Intelligence’s mix of independent judges, including a range of investors such as ETF strategists, based their decisions on performance, product innovation and sales success through the year. Learn more at mutualfundindustryawards.com.
About New York Life Investments: a full-service global asset manager
With over $550 billion in AUM, New York Life Investments is comprised of the affiliated global asset management businesses of our parent company, New York Life Insurance Company (New York Life). We offer clients access to specialized, independent investment teams through our family of affiliated boutiques. We remain committed to our clients through a combination of the diverse perspectives of our boutiques and a long-lasting focus on sustainable relationships.

Long-lasting focus
Our focus is establishing long lasting, sustainable client relationships and providing investment solutions for clients’ goals through every economic cycle.

Domain expertise
Our multi-boutique approach offers diverse, independent thinking that translates to thoughtful solutions that seek to deliver client outcomes.

Relationship-driven
Our “relationship” model is based on a simple premise that when you truly understand your client, you can serve them better and more consistently.

More than investing. Invested.

For more information
800-624-6782
nylinvestments.com/etfs

The products and services of New York Life Investments’ boutiques are not available to all clients and in all jurisdictions or regions. The strategies discussed are strictly for illustrative and educational purposes and are not a recommendation, offer or solicitation to buy or sell any securities or to adopt any investment strategy. There is no guarantee that any strategies discussed will be effective. This contains general information only and does not take into account an individual’s financial or tax circumstances. This information should not be relied upon as a primary basis for an investment decision. Rather, an assessment should be made as to whether the information is appropriate in individual circumstances and consideration should be given to talking to a financial advisor before making an investment decision.

Consider the Funds’ investment objectives, risks, charges and expenses carefully before investing. The prospectus and the statement of additional information include this and other relevant information about the Funds and are available by visiting iQetfs.com or calling (888) 474-7725. Read the prospectus carefully before investing.

About risk: There are risks involved with investing in any such products, including the possible loss of principal. Investors in the Funds should be willing to accept a high degree of volatility and the possibility of significant losses. Beta is a measure of the volatility of a security or portfolio compared to the market as a whole.

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