

# GRES IQ Global Resources ETF

All data as of 3/31/23

**Fund description:** The Fund seeks investment results that track, before fees and expenses, the price and yield performance of the IQ Global Resources Index. The IQ Global Resources Index uses a tiered weighting approach to provide exposure to companies in commodity-specific market segments and whose equity securities trade in developed markets, including the United States. These segments include livestock; precious metals; grains, food, and fiber; energy; industrial metals; timber; and water.

## Why Natural Resources

Population growth, finite resources, inflation and valuations are return drivers for natural resources.

## Broad Diversification

Seven sectors, tiered weighting approach provides broad access and helps avoid concentration risk<sup>†</sup>.

## Cost

Among the lowest cost natural resource products available.

## Average Annual Total Returns (%)

SI = Since Fund Inception (10/27/2009)

	QTR	YTD	1 Yr	3 Yrs	5 Yrs	10 Yrs	SI
IQ Global Resources ETF (NAV)	2.46	2.46	0.22	21.12	7.72	3.49	4.28
IQ Global Resources ETF (MP)	2.42	2.42	0.46	21.19	7.66	3.44	4.27
IQ Global Resources Index	2.52	2.52	0.52	22.05	8.66	4.55	5.36
S&P Global Natural Resources Index (Net)	0.41	0.41	-5.62	26.86	7.11	4.44	3.43
Bloomberg Commodity Spot Index	-5.33	-5.33	-18.76	22.54	7.12	1.47	2.90

## Calendar Year Returns (%)

	2022	2021	2020	2019	2018	2017	2016	2015	2014	2013
IQ Global Resources ETF (NAV)	12.93	18.38	4.07	9.29	-10.25	13.36	20.18	-19.37	-5.13	-3.28
IQ Global Resources ETF (MP)	12.97	18.82	3.75	9.75	-10.94	13.87	20.86	-19.69	-5.05	-5.56
IQ Global Resources Index	13.56	19.47	5.12	10.45	-9.27	15.17	23.20	-18.47	-3.44	-4.53
S&P Global Natural Resources Index (Net)	9.59	24.40	-0.05	16.41	-13.08	21.98	31.45	-24.50	-10.18	0.96
Bloomberg Commodity Spot Index	6.83	26.57	11.83	10.37	-10.55	7.56	23.14	-18.40	-17.04	-8.65

Returns represent past performance which is no guarantee of future results. Current performance may be lower or higher. Investment return and principal value will fluctuate, and shares, when redeemed, may be worth more or less than their original cost. Performance reflects a contractual fee waiver and/or expense limitation agreement in effect until terminated by the board of Trustees of the ETF, without which total returns may have been lower. Visit [www.newyorklifeinvestments.com](http://www.newyorklifeinvestments.com) for the most recent month-end performance. Expenses stated are as of the fund's most recent prospectus.

Portfolio data as of 3/31/23. Percentages based on total net assets and may change daily

## Top Holdings<sup>1</sup> (%)

Exxon Mobil Corp.	4.59
Mondelez International Inc-A	4.49
BHP Group Ltd	4.34
Chevron Corp.	3.14
Rio Tinto PLC	2.98
General Mills Inc.	2.38
Kraft Heinz Co/The	2.19
Shell PLC	2.13
Archer-Daniels-Midland Co	2.07
Glencore PLC	2.05

Shares are bought and sold at market price (not NAV) and are not individually redeemed from the Fund. Total Returns are calculated using the daily 4:00 pm ET net asset value (NAV). Since May 31, 2016, the price used to calculate the market price returns ("MP") is the mean between the day's last bid and ask prices on the fund's primary exchange. Any market price returns prior to May 31, 2016 were calculated using the day's closing price on the fund's primary exchange. The market price returns do not represent returns an investor would receive if shares were traded at other times.

Index performance is for illustrative purposes only and does not represent actual Fund performance. One cannot invest directly in an index. Performance data for the Index assumes reinvestment of dividends and is net of the management fees for the Index's components, as applicable, but it does not reflect management fees, transaction costs or other expenses that you would pay if you invested in the Fund directly. No representation is being made that any investment will achieve performance similar to that shown.

## Morningstar Ratings<sup>3</sup>

as of 4/30/23



Overall Morningstar Rating™ based on the risk-adjusted returns from among 108 Natural Resources funds.

	Stars	# of Funds
3 Yr	3	108
5 Yr	5	101
10 Yr	3	88

## Fund Details

Ticker	GRES
Stock Exchange	NYSE Arca
Inception Date	10/27/2009
Total Net Assets	\$35.70 M
Morningstar Category	Natural Resources
Benchmark	IQ Global Resources Index
Number of Holdings	201
Holdings Structure	Equity
Weighted Average Market Cap	\$75.09 B
Price/Earnings	9.00
Price/Book Value	1.76

## Fund Expenses

Management Fee	0.30%
Acquired Fund Fees and Other Expenses	0.01%
<b>Total Annual Fund Operating Expenses</b>	<b>0.31%</b>
Expense Waiver/Reimbursement	0.01%
<b>Total Annual Fund Operating Expenses After Waiver/Reimbursement</b>	<b>0.30%</b>

## Index Details\*

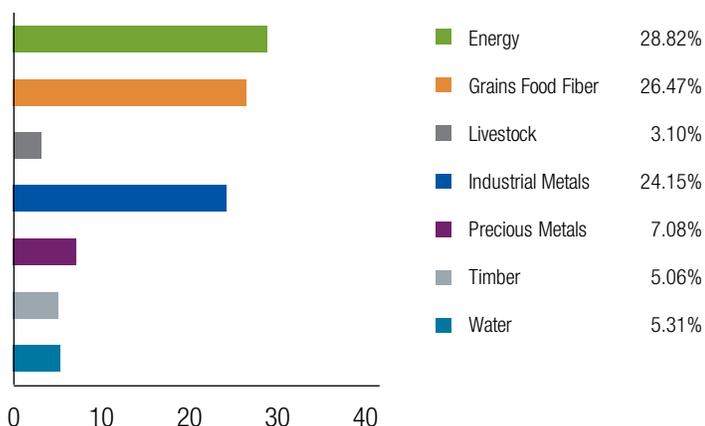
Ticker	IQGREST
Inception Date	10/31/2007
Standard Deviation	16.18%

\*As stated in the Fund's prospectus, the management fee of 0.30% is expressed as a unitary fee to cover expenses incurred in connection with managing the portfolio.

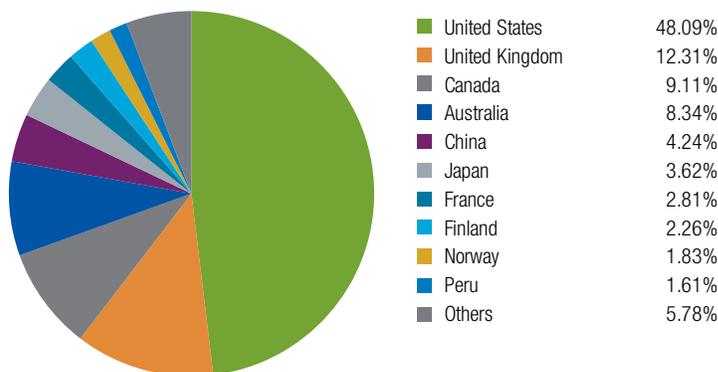
Not FDIC/NCUA Insured	Not a Deposit	May Lose Value
No Bank Guarantee	Not Insured by Any Government Agency	

# GRES IQ Global Resources ETF

## Sector Allocation<sup>1,2</sup> (%)



## Country Allocation<sup>1,2</sup> (%)



888-474-7725

[www.newyorklifeinvestments.com](http://www.newyorklifeinvestments.com)

### Before considering an investment in the Fund, you should understand that you could lose money.

As the Fund's investments are concentrated in the global resources sector, the value of its shares will be affected by factors specific to that sector and generally will fluctuate more widely than that of a fund which invests in a broad range of industries. The Fund is susceptible to foreign securities risk. Since the Fund invests in foreign markets, it will be subject to risk of loss not typically associated with domestic markets. Loss may result because of less foreign government regulation, less public information, less economic, political and social stability, or other factors. Investing in smaller companies involves special risks, including higher volatility and lower liquidity. Investing in mid-cap stocks may carry more risk than investing in stocks of larger, more well-established companies. The Fund may experience a portfolio turnover rate of over 100% that will increase transaction costs and may generate short-term capital gains which are taxable.

The IQ Global Resources Index is the exclusive property of IndexIQ which has contracted with Solactive to maintain and calculate the Index. IndexIQ® and IQ® are registered service marks of IndexIQ. The IQ Global Resources Index uses a tiered sector weighting approach designed to provide exposure to developed market companies involved in the production and distribution of commodities and commodities-related products and services across the Precious Metals, Grains, Food and Fiber, Energy, Industrial Metals, Timber and Water sectors.

The S&P Global Natural Resources Index includes 90 of the largest publicly-traded companies in natural resources and commodities businesses that meet specific investability requirements, offering investors diversified and investable equity exposure across 3 primary commodity-related sectors: agribusiness, energy, and metals & mining.

The Bloomberg Commodity Spot Index measures the price movements of commodities included in the Bloomberg Commodity Index and select sub indexes. It does not account for the effects of rolling futures contracts or the costs associated with holding physical commodities.

<sup>†</sup>Diversification cannot prevent a loss or guarantee a profit.

**1.** Percentages based on total net assets and subject to change without notice. **2.** Demonstrates the allocations of the Fund to the various sectors represented by the Fund's equity holdings. "Short Domestic" and "Short International" reflects short exposure. Cash and cash equivalent holdings are not shown in the sector and country allocation charts. As a result, the totals do not add up to 100%. The sector and country allocation information and holdings are subject to change without notice. **3.** The Morningstar Rating™ for funds, or "star rating", is calculated for managed products (including mutual funds, variable annuity and variable life subaccounts, exchange-traded funds, closed-end funds, and separate accounts) with at least a three-year history. Exchange-traded funds and open-ended mutual funds are considered a single population for comparative purposes. It is calculated based on a Morningstar Risk-Adjusted Return measure that accounts for variation in a managed product's monthly excess performance, placing more emphasis on downward variations and rewarding consistent performance (this does not include the effects of sales charges, loads, and redemption fees). The top 10% of products in each product category receive 5 stars, the next 22.5% receive 4 stars, the next 35% receive 3 stars, the next 22.5% receive 2 stars, and the bottom 10% receive 1 star. The Overall Morningstar Rating for a managed product is derived from a weighted average of the performance figures associated with its three-, five-, and 10-year (if applicable) Morningstar Rating metrics. The weights are: 100% three-year rating for 36-59 months of total returns, 60% five-year rating/40% three-year rating for 60-119 months of total returns, and 50% 10-year rating/30% five-year rating/20% three-year rating for 120 or more months of total returns. While the 10-year overall star rating formula seems to give the most weight to the 10-year period, the most recent three-year period actually has the greatest impact because it is included in all three rating periods.

**Price/Earnings Ratio** is a valuation of a company's current share price compared to its per-share earnings and is not intended to demonstrate growth or income. **Price/Book Ratio** is used to compare a company's stock's value to its book value and is not intended to demonstrate growth or income. **Standard Deviation** measures how widely dispersed a fund's returns have been over a specified period of time. A high standard deviation indicates that the range is wide, implying greater potential for volatility.

**Consider the Fund's investment objectives, risks, and charges and expenses carefully before investing. The prospectus and the statement of additional information include this and other relevant information about the Fund and are available by visiting [www.newyorklifeinvestments.com](http://www.newyorklifeinvestments.com) or calling 888-474-7725. Read the prospectus carefully before investing.**

Fund shares are not individually redeemable and will be issued and redeemed at their NAV only through certain authorized broker-dealers in large, specified blocks of shares called "creation units", and otherwise, can be bought and sold only through exchange trading. Creation units are issued and redeemed principally in-kind.

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