



- Use this form to change your name due to marriage, divorce, or other circumstance.
- This form cannot be used to change the name of a corporation or trust. For this request, please call 800-624-6782.
- To carry over your checkwriting privilege to your new account registration, please complete the MainStay Funds Money Market Checkwriting Service Form. This form can be found online at [newyorklifeinvestments.com](http://newyorklifeinvestments.com).

For account balances less than \$100,000:	For account balances \$100,000 or more:
<ul style="list-style-type: none"> <li>▪ Attach a <b>photocopy</b> of the appropriate name change document (Marriage Certificate, Divorce Decree, or Court Order), certified by the issuing authority.<sup>1</sup></li> </ul> <p style="text-align: center;"><b>OR</b></p> <ul style="list-style-type: none"> <li>▪ Provide signatures for both former and new names with a <b>Medallion Signature Guarantee</b>.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Attach a <b>certified</b> copy of the appropriate name change document (Marriage Certificate, Divorce Decree, or Court Order), certified by the issuing authority.<sup>1</sup></li> </ul> <p style="text-align: center;">– To obtain proper certification, the name change document (or photocopy of the original) must be certified in ink by the issuing authority and bear an original certification seal or stamp. A notary public stamp is not an acceptable form of certification.</p> <p style="text-align: center;"><b>OR</b></p> <ul style="list-style-type: none"> <li>▪ Provide signatures for both former and new names with a <b>Medallion Signature Guarantee</b>.</li> </ul>

**1 Current Account Information**

Name *(Exactly as it appears on your statement)*

Social Security Number

Account Number(s)

Account Number(s)

**2 Name Change**

Former Name *(Please print.)*

New Name *(Please print.)*

is now known as

1. A driver's license is not an acceptable name change document.

**3 Substitute W-9 Certification** *(Signature in new name required.)*

Under penalties of perjury, each owner certifies that: (1) my Social Security number or Tax ID number shown on this form is my correct taxpayer identification number, (2) I am not subject to backup withholding because (a) I am exempt from backup withholding; or (b) I have not been notified by the IRS that I am subject to backup withholding as a result of a failure to report all interest or dividend income; or (c) the IRS has notified me that I am no longer subject to backup withholding, (3) I am a U.S. person (includes a U.S. resident alien), and (4) the FATCA code entered on this form (if any) indicating that I am exempt from FATCA reporting is correct. (Please note: if this form is being submitted for a U.S. person, this last certification (4) does not apply).

Check this box if the IRS has notified you that you are subject to backup withholding.

If owner is a U.S. entity, I am submitting a completed IRS Form W-9.

If owner is not a U.S. citizen, U.S. resident alien or other U.S. person, I am submitting the applicable IRS Form W-8 with this form to certify my foreign status and, if applicable, claim treaty benefits.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Signature of Shareholder *(New name, exactly as requested)*

X \_\_\_\_\_

**4 Signatures** *(Signature in former name and new name required.)*

(For account balances of \$100,000 or more, if a certified name change document is not attached, a **Medallion Signature Guarantee** is required.)

Signature of Shareholder *(Former name, exactly as registered)*

X \_\_\_\_\_

Signature of Shareholder *(New name, exactly as requested)*

X \_\_\_\_\_

Daytime Phone Number *(Required)*

Date *(MM/DD/YYYY)*

The signature(s) must be Medallion Signature Guaranteed by an eligible guarantor institution as defined under the Securities Exchange Act to include generally: a bank, broker dealer, municipal securities dealer or broker, credit union, national securities exchange, registered securities association, clearing agency, or savings association. A notary public is not acceptable. Eligible guarantor institutions provide Medallion Signature Guarantees that are covered by surety bonds in various amounts. It is your responsibility to ensure the Medallion Signature Guarantee that you acquire is sufficient to cover the total value of your transaction(s). If the surety bond amount is not sufficient to cover the requested transaction(s), the Medallion Signature Guarantee will be rejected.

**Place Medallion Signature Guarantee below.**

**newyorklifeinvestments.com**

**For Assistance:**

Call your investment professional or call toll-free 800-624-6782/option 2

**Mailing Address:**

MainStay Funds  
P.O. Box 219003  
Kansas City, MO 64121-9000

**Overnight Express Address:**

MainStay Funds  
430 West 7th Street, Suite 219003  
Kansas City, MO 64105-1407

"New York Life Investments" is both a service mark, and the common trade name, of certain investment advisors affiliated with New York Life Insurance Company. The MainStay Funds® are managed by New York Life Investment Management LLC and distributed by NYLIFE Distributors LLC, 30 Hudson Street, Jersey City, NJ 07302, a wholly owned subsidiary of New York Life Insurance Company. NYLIFE Distributors LLC is a Member FINRA/SIPC.