

# Executor checklist

YOU'VE BEEN NAMED EXECUTOR—NOW WHAT?



INVESTMENTS

# While both an honor and a big responsibility, protecting and distributing someone's property can be an overwhelming task.

The following pages can help you make a plan and keep track of the many tasks and documents involved in settling an estate. The Executor checklist will help guide you through the process and help you organize all paperwork and correspondence. Be sure to include the dates that you complete each task and any follow-up actions you may need to take.

Executor name:

Information current as of:

Key Contacts			
		Name	Phone Number and Address
	Financial Professional		
	CPA/Accountant		
	Tax Preparer		
	Insurance Representative		
	Attorney		

Task			
		Date Completed	Issues/Comments
	Find the will		
	Get multiple copies of the certified death certificate		
	Submit the will to local probate court and receive Letter of Testamentary		
	Apply for an Employer Identification Number (EIN) from the IRS to identify the deceased's estate accounts during the account transfer and estate settlement process		
	Appraise assets, if needed		
	Arrange to publish a "notice of probate" in local newspapers to give creditors and beneficiaries public notice of the deceased's death and the appointment of the personal representative		
	Determine whether probate proceedings are needed		
	If probate, conduct the proceedings or hire a lawyer to conduct proceedings		
	If there is a Living Trust, work with the trustee for paying bills, property management, and other miscellaneous tasks		
	Designate guardians for minors, if necessary		
	Designate a conservator (for collecting and managing a minor child's inheritance)		
	Protect/Manage assets until distributed to beneficiaries		
	Collect money owed to the estate (e.g., wages, insurance benefits, rent, etc.)		
	Pay bills		
	File final income tax returns for the deceased		
	File estate taxes, if necessary		
	Distribute assets		

## Documents Needed

	Date Requested	Date Received	Issues/Comments
Bank statements			
Birth certificates for both the deceased and minor children			
Brokerage account statements			
Business co-ownership agreements			
Checkbook(s)			
Child support documents			
Credit card statements (look for auto pay items on each statement—this can be a very helpful source of information)			
Disability-related documents			
Divorce papers (including property and other settlement agreements)			
Form W-2 showing wages for the current year			
Health insurance policies, statements, or bills			
Immigration and citizenship documents			
Investment records			
Life insurance policies and premium payment records			
Marriage license/certificate			
Military service records, including branch, dates of service, discharge, or “separation” papers			
Pension records			
Prenuptial agreement			
Real estate deeds and tax records			
Registration papers for vehicles or boats			
Retirement account statements			
Social Security records			
Workers’ Compensation paperwork			

## Businesses and Agencies to Notify

	Date Notified	Issues/Comments
Charities		
Doctors or other health care providers		
Current employer		
Former employers		
Insurance company(ies)		
Landlord and/or tenants		
Membership organizations (e.g., country clubs, alumni associations, and social groups)		
Newspaper and magazine subscription offices		
Pension payers		
Employee benefit plans		

**Businesses and Agencies to Notify** *(continued)*

	Date Notified	Issues/Comments
Service providers (e.g., landscapers, trash haulers, etc.)		
Social Security Administration		
State health/welfare departments		
U.S. Department of Veterans Affairs		
Volunteer groups		
Internet service provider		
E-mail and/or social networking providers		
Religious/spiritual advisor		
Off-site storage facilities		
Bank(s)*		
Credit card companies*		
Inheritors and beneficiaries*		
Investment firms*		
Post Office*		
Utility companies*		

\*Notify within one month

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