

HART



A Gift for You:

IQ Healthy Hearts **ETF | HART**



Created in alignment with the **American Heart Association**, HART invests in companies that are contributing to the fight against heart disease or promoting healthy lifestyles.



American Heart Association
Social Impact Fund

To:

From:

Amount / Number of Shares:

Message:

Fighting Health Inequity with the American Heart Association

IndexIQ is a donor to and supporter of the American Heart Association's Social Impact Fund, and your investment helps to further these contributions. Together, we can be proud to help the American Heart Association further its mission to help under-resourced communities achieve health equity.

The American Heart Association's Social Impact Fund seeks to mitigate health inequity in underserved communities and enable everyone, regardless of social standing or background, to live long, healthy lives. Nearly 80% of an individual's health is determined by factors other than access and quality of clinical care, which is why the Social Impact Fund invests in efforts to help communities develop sustainable solutions to social detriments of health, including environmental and social conditions.

Visit iqdualimpactetfs.com to learn more.

DATE:

This voucher has no cash value. Voucher cannot be redeemed to purchase any security.

New York Life Investment Management LLC (NYLIM) and IndexIQ Advisors LLC (IndexIQ) are donors to and supporters of the American Heart Association's Social Impact Fund and are making a substantial contribution to the Social Impact Fund.

ABOUT RISK

Foreign securities can be subject to greater risks than U.S. investments, including currency fluctuations, less liquid trading markets, greater price volatility, political and economic instability, less publicly available information, and changes in tax or currency laws or monetary policy. These risks are likely to be greater for emerging markets than in developed markets.

Large-Capitalization Companies Risk Large-capitalization companies may be less able than smaller capitalization companies to adapt to changing market conditions. Large-capitalization companies may be more mature and subject to more limited growth potential compared with smaller capitalization companies. During different market cycles, the performance of large-capitalization companies has trailed the overall performance of the broader securities markets.

New Fund Risk The Fund is a new fund. As a new fund, there can be no assurance that it will grow to or maintain an economically viable size, in which case it could ultimately liquidate.

Small and mid-cap stocks are often more volatile than large-cap stocks. Smaller companies generally face higher risks due to their limited product lines, markets and financial markets.

ESG Investing Style Risk Impact investing and/or Environmental, Social and Governance (ESG) managers may take into consideration factors beyond traditional financial information to select securities, which could result in relative investment performance deviating from other strategies or broad market benchmarks, depending on whether such sectors or investments are in or out of favor in the market. Further, ESG strategies may rely on certain values based criteria to eliminate exposures found in similar strategies or broad market benchmarks, which could also result in relative investment performance deviating. There is no assurance that employing ESG strategies will result in more favorable investment performance.

Healthy Hearts Investing Style Risk Companies involved in health and wellness activities include companies that diagnose and treat diseases, operate gyms and fitness/wellness facilities as well as companies that provide, manufacture or distribute natural/organic foods, sports/fitness equipment, wearable fitness technology, fitness/athletic apparel, nutritional supplements, anti-aging products and dietary services. The risks related to investing in such companies include rapid changes in medical and pharmaceutical advancements, consumer trends, social trends, marketing campaigns, and consumers' disposable income. The customers and/or suppliers of health-related companies may be concentrated in a particular country, region or industry. Any adverse event affecting one of these countries, regions or industries could have a negative impact on health and wellness companies.

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Investing involves risk, including possible loss of principal. Asset allocation and diversification may not protect against market risk, loss of principal, or volatility of returns.

There is no guarantee that these investment strategies will work under all market conditions or are suitable for all investors, and each investor should evaluate their ability to invest long-term, especially during periods of downturn in the market.

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Consider the Funds' investment objectives, risks, charges and expenses carefully before investing. The prospectus and the statement of additional information include this and other relevant information about the Funds and are available by visiting IQetfs.com. Read the prospectus carefully before investing.



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