



*A Gift for You:*

**IQ Clean Oceans ETF | OCEN**



Created in alignment with **Oceana**, OCEN invests in companies that are taking steps toward mitigating harm to our oceans through their products and services.



To:

From:

Amount / Number of Shares:

Message:

### Preserving Ocean Health with Oceana

IndexIQ contributes to Oceana's charitable initiatives based on a portion of OCEN's management fee, and your investment helps to support these ongoing donations. Together, we can be proud to help Oceana further its mission of restoring fisheries by stopping overfishing, preventing bycatch, protecting habitat, and reducing pollution from single-use plastics.

Founded in 2001, Oceana65 is the largest international advocacy organization focused solely on ocean conservation. Their offices around the world work together to win strategic, directed campaigns that achieve measurable outcomes intended to make our oceans more biodiverse and abundant. Since its founding, Oceana has won more than 225 victories and protected nearly 4 million square miles of ocean.

**Visit [iqdualimpactetfs.com](http://iqdualimpactetfs.com) to learn more.**

**DATE:**

*This voucher has no cash value. Voucher cannot be redeemed to purchase any security.*

## ABOUT RISK

**Foreign securities** can be subject to greater risks than U.S. investments, including currency fluctuations, less liquid trading markets, greater price volatility, political and economic instability, less publicly available information, and changes in tax or currency laws or monetary policy. These risks are likely to be greater for emerging markets than in developed markets.

**Large-Capitalization Companies Risk** Large-capitalization companies may be less able than smaller capitalization companies to adapt to changing market conditions. Large-capitalization companies may be more mature and subject to more limited growth potential compared with smaller capitalization companies. During different market cycles, the performance of large-capitalization companies has trailed the overall performance of the broader securities markets.

**New Fund Risk** The Fund is a new fund. As a new fund, there can be no assurance that it will grow to or maintain an economically viable size, in which case it could ultimately liquidate.

**Small and mid-cap stocks** are often more volatile than large-cap stocks. Smaller companies generally face higher risks due to their limited product lines, markets and financial markets.

**ESG Investing Style Risk** Impact investing and/or Environmental, Social and Governance (ESG) managers may take into consideration factors beyond traditional financial information to select securities, which could result in relative investment performance deviating from other strategies or broad market benchmarks, depending on whether such sectors or investments are in or out of favor in the market. Further, ESG strategies may rely on certain values based criteria to eliminate exposures found in similar strategies or broad market benchmarks, which could also result in relative investment performance deviating. There is no assurance that employing ESG strategies will result in more favorable investment performance.

**Clean Oceans Investing Style Risk** The returns on a portfolio of securities that excludes companies that have not adopted practices and policies that help to protect and/or achieve a cleaner ocean through reduced pollution and increased resource efficiency may trail the returns on a portfolio of securities that includes companies that have not adopted these practices and policies. Investing only in a portfolio of securities of companies that help to protect and/or achieve a cleaner ocean through reduced pollution and increased resource efficiency may affect the Fund's exposure to certain types of investments and may adversely impact the Fund's performance depending on whether such investments are in or out of favor in the market.

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Investing involves risk, including possible loss of principal. Asset allocation and diversification may not protect against market risk, loss of principal, or volatility of returns.

There is no guarantee that these investment strategies will work under all market conditions or are suitable for all investors, and each investor should evaluate their ability to invest long term, especially during periods of downturn in the market.

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**Consider the Funds' investment objectives, risks, charges and expenses carefully before investing. The prospectus and the statement of additional information include this and other relevant information about the Funds and are available by visiting [IQetfs.com](http://IQetfs.com). Read the prospectus carefully before investing.**



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