

CLNR



*A Gift for You:*

IQ Cleaner Transport **ETF | CLNR**



Created in alignment with the National Wildlife Federation, CLNR invests in companies that are contributing to the advancement of more sustainable transportation through cleaner energy products and solutions.



To:

From:

Amount / Number of Shares:

Message:

### Advancing Climate Solutions with the National Wildlife Federation

IndexIQ contributes to the National Wildlife Federation's charitable initiatives based on a portion of CLNR's management fee, and your investment helps to support these ongoing donations. Together, we can be proud to help the National Wildlife Federation further its mission to advance climate solutions that help create a zero-carbon future where wildlife and people thrive.

Established in 1936, the National Wildlife Federation believes America's experience with cherished landscapes and wildlife has helped define and shape our national character and identity for generations. As such, the organization has been uniting Americans to protect wildlife and our natural resources through hands-on programs, policy work, community outreach, and much more.

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**DATE:**

*This voucher has no cash value. Voucher cannot be redeemed to purchase any security.*

## ABOUT RISK

**Foreign securities** can be subject to greater risks than U.S. investments, including currency fluctuations, less liquid trading markets, greater price volatility, political and economic instability, less publicly available information, and changes in tax or currency laws or monetary policy. These risks are likely to be greater for emerging markets than in developed markets.

**Large-Capitalization Companies Risk** Large-capitalization companies may be less able than smaller capitalization companies to adapt to changing market conditions. Large-capitalization companies may be more mature and subject to more limited growth potential compared with smaller capitalization companies. During different market cycles, the performance of large-capitalization companies has trailed the overall performance of the broader securities markets.

**New Fund Risk** The Fund is a new fund. As a new fund, there can be no assurance that it will grow to or maintain an economically viable size, in which case it could ultimately liquidate.

**Small and mid-cap stocks** are often more volatile than large-cap stocks. Smaller companies generally face higher risks due to their limited product lines, markets and financial markets.

**ESG Investing Style Risk** Impact investing and/or Environmental, Social and Governance (ESG) managers may take into consideration factors beyond traditional financial information to select securities, which could result in relative investment performance deviating from other strategies or broad market benchmarks, depending on whether such sectors or investments are in or out of favor in the market. Further, ESG strategies may rely on certain values based criteria to eliminate exposures found in similar strategies or broad market benchmarks, which could also result in relative investment performance deviating. There is no assurance that employing ESG strategies will result in more favorable investment performance.

**Cleaner Transport Investing Style Risk** The returns on a portfolio of securities that excludes companies that have not adopted practices and policies [to support the transition to more efficient transportation technologies] may trail the returns on a portfolio of securities that includes companies that have not adopted these practices and policies. Investing only in a portfolio of securities [of companies that help to achieve cleaner transportation] may affect the Fund's exposure to certain types of investments and may adversely impact the Fund's performance depending on whether such investments are in or out of favor in the market. IQ Cleaner Transport ETF (the "Fund") is offered by IndexIQ, the Fund's sponsor, in alignment with the National Wildlife Federation ("NWF"). The Fund is designed to promote investment objectives consistent with NWF's mission. Shares of the Fund are not sponsored, endorsed or promoted by NWF, and NWF is not an investment adviser or service provider to the Fund. NWF makes no representations or warranties regarding the performance of the Fund and will have no obligation or liability in connection with the Fund. The Fund's sponsor, IndexIQ, and its affiliates are supporters of and donors to NWF and are making a substantial contribution to NWF in connection with NWF's agreement to license use of its name and trademarks to IndexIQ and its affiliates. NYLIM and IndexIQ's contribution to NWF is calculated on an annualized basis to be the lower of: (i) 0.045% of the Fund's average daily net managed assets (the average daily value of the total assets of the Fund, less all accrued liabilities of the Fund and less any management fees waived by the Fund's adviser or sub-adviser); or (ii) ten percent of the Fund's net annual management fee taking into account all applicable fee waivers and expense reimbursements. NYLIM and IndexIQ will make annual minimum contributions to NWF of \$30,000 in each of the years 2021 to 2025 so long as their commercial co-venture is in effect.

New York Life Investment Management LLC ("NYLIM") and IndexIQ Advisors LLC ("IndexIQ") are donors to and supporters of the National Wildlife Federation ("NWF"). NWF has authorized NYLIM and IndexIQ to reference its name and certain marks owned by NWF in connection with a commercial co-venture entered into between the Parties. None of the payments made by NYLIM and IndexIQ to NWF are in exchange for services provided by NWF.

Investing involves risk, including possible loss of principal. Asset allocation and diversification may not protect against market risk, loss of principal, or volatility of returns.

There is no guarantee that these investment strategies will work under all market conditions or are suitable for all investors, and each investor should evaluate their ability to invest long term, especially during periods of downturn in the market.

No representation is being made that any account, product, or strategy will or is likely to achieve profits.

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This material is not intended to be relied upon as a forecast, research or investment advice, and is not a recommendation, offer or solicitation to buy or sell any securities or to adopt any investment strategy.

**Consider the Funds' investment objectives, risks, charges and expenses carefully before investing. The prospectus and the statement of additional information include this and other relevant information about the Funds and are available by visiting IQetfs.com. Read the prospectus carefully before investing.**



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